

For Immediate Release

## **BROOKFIELD OAKTREE WEALTH SOLUTIONS EXPANDS TEAM SUPPORTING RIA FIRMS ACROSS THE U.S.**

**NEW YORK – Aug. 23, 2022** – [Brookfield Oaktree Wealth Solutions](#), a leading provider of alternative investments to financial advisors and their clients globally, today announced that it has expanded its registered investment advisor (RIA) sales and support team in the United States to meet the growth of the region’s RIA market. The team, which has doubled in size in the past six months and has plans to triple in size by the end of 2022, works to provide Brookfield (NYSE: BAM; TSX: BAM.A) and Oaktree Capital Management, L.P. (“Oaktree”) strategies to RIAs and their clients.

“As the RIA channel grows in the U.S., our team is also expanding to meet the demand for our infrastructure, real estate, credit, and private equity solutions,” said David Levi, Head of Brookfield Oaktree Wealth Solutions. “Through strategic hiring and a data-driven approach, we are committed to building relationships with RIAs as we provide education on the alternatives landscape and solutions to fit the needs of their clients.”

The team is led by 32 year industry veteran Steve DiMaio, who joined Brookfield Oaktree Wealth Solutions in February 2022. Prior to joining Brookfield Oaktree Wealth Solutions, Steve spent 18 years working at DWS Investments (and its predecessor firms) in various roles including Regional Sales Manager, Head of Independent National Accounts, and most recently as the Head of RIA Channel. Prior to DWS, he was Head of Independent National Accounts at Columbia Funds.

“RIAs are facing a variety of challenges including high valuations, lagging bond portfolios, and rising inflation. Brookfield and Oaktree’s expertise in real assets and credit portfolios are great tools for solving this,” said DiMaio. “We are excited to announce the growth of Brookfield Oaktree Wealth Solutions’ RIA team and look forward to partnering with RIAs to support their unique needs”.

### ***Key hires and promotions***

Under DiMaio’s leadership, **Siobhan Broadbery** joins Brookfield Oaktree Wealth Solutions as Senior Vice President and Western Market Leader. Prior to joining Brookfield Oaktree Wealth Solutions, Broadbery spent eight years at Barclays Bank and five years at Stone Ridge Asset Management. Most recently, she was Director of Business Development for Flourish, a fintech platform built for RIAs.

**Barbara Borozan** has been promoted to Senior Vice President and Eastern Market Leader, supporting large RIA firms on the east coast. Prior to joining Brookfield in 2019, Borozan worked in the Client Strategies Team at Stone Ridge Asset Management. Prior to Stone Ridge, she spent six years in Goldman Sachs’ fixed income division.

**Kurtis Cicero, CFA, CAIA** joins Brookfield Oaktree Wealth Solutions as Vice President and Regional Director, covering RIA firms in the South Central U.S. Prior to joining Brookfield Oaktree Wealth Solutions, Cicero was Head of FLX Consulting. He also served as Senior Regional Director for Ivy Investments and held various roles at BNY Mellon.

**Tyler Johnson, CAIA, CIMA®** will continue in his role as Vice President and Regional Director, responsible for supporting RIAs in the Central U.S. Previously, Johnson was responsible for the firm's private funds business development efforts in the wirehouse channel, covering relationships across the Midwest and Texas. Prior to joining Brookfield in 2018, Johnson was an Investment Consultant at Calamos Investments, working with financial intermediaries in New York City.

Additional hires to the Brookfield Oaktree Wealth Solutions RIA team include **Matthew Mongeau, Madison Morelli, Emily Fisher, and Julian Dega.**

Advisors interested in learning more about Brookfield Oaktree Wealth Solutions should call +1 (855) 777-8001 or email [info@brookfieldoaktree.com](mailto:info@brookfieldoaktree.com).

### **About Brookfield Oaktree Wealth Solutions**

Brookfield Oaktree Wealth Solutions is a leading provider of alternative investments to advisors and their clients globally, helping them meet their overall financial goals. The global scale and multi-decade track records of our parent companies, Brookfield and Oaktree, place us among the leaders in alternative investing. For more information, please visit our website at [www.brookfieldoaktree.com](http://www.brookfieldoaktree.com). Brookfield Oaktree Wealth Solutions is registered as a broker-dealer with the U.S. Securities & Exchange Commission ("SEC") and is a member of Financial Industry Regulatory Authority, Inc. ("FINRA") and the Securities Investor Protection Corporation ("SIPC").

*Data from Prequin, 2020.*

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